



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: **Jones**

Confirmation No. 8039

Examiner: To Be Assigned

Serial No. 10/656,522

Docket No. 050119-1091

Filing Date: 09/05/03

Art Group Unit: 3661

For: **Notification Systems and Methods Enabling  
Entry of Notification Trigger Information  
Based Upon Monitored Mobile Vehicle Location**

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or  
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement Under 37 C.F.R. 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a check in the amount of \$0. Please charge \$0 to deposit account 20-0778. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

- ☒ Applicant(s) submit herewith *Form PTO 1449A - Information Disclosure Statement by Applicant* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

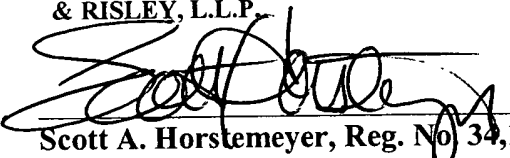
This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.

By:

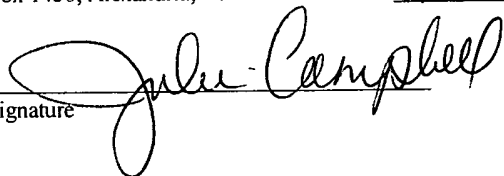
  
Scott A. Horstemeyer, Reg. No. 34,183

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770-933-9500

**CERTIFIED MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as "First Class Mail," in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 12-9-03

Signature



Form PTO-1449



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney Docket No.  
050119-1091Serial No.  
10/656,522Applicant  
JonesFiling Date  
09/05/03

Group

## U.S. PATENT DOCUMENTS

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	2002/0069017A1	06/06/02	Schmier, et al.	701	213	01/18/02
	B	2002/0098802A1	05/29/03	Jones	340	994	11/20/02
	C	2002/0099500A1	07/25/02	Schmier, et al.	701	200	02/01/02
	D	4,894,649	01/16/90	Davis	340	825.44	01/07/88
	E	5,097,429	03/17/92	Wood, et al.	364	569	04/23/90
	F	5,271,484	12/21/93	Bahjat, et al.	187	29.1	04/10/91
	G	5,428,546	06/27/95	Shah, et al.	364	449	10/16/92
	H	5,446,678	08/29/95	Saltzstein, et al.	364	514	12/18/92
	I	5,570,100	10/29/96	Grube, et al.	364	446	03/10/94
	J	5,680,119	10/21/97	Magliari, et al.	340	904	06/06/96
	K	5,513,111	04/30/96	Wortham	364	460	07/22/94

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	L	EP 0219859 A2	04/29/87	Europe	G08G	1/12	X	
	M	EP 0805427 A1	11/05/97	Europe	G08G	1/123		X
	N	WO 90/01236	02/08/90	PCT	H04M	1/54	X	
	O	WO 93/13503	07/08/93	PCT	G08	5/22	X	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	P	"Public Transportation Information and Management Ssytems", IEE Colloquium, Computing and Control Division, May 25, 1993, pp. 9/1- 9/4, 12/1-12/2, 7/1-7/3.
	Q	"Vehicle Location and Fleet Management Systems", IEE Colloquium, Computing and Control Division, June 8, 1993
	R	The 3rd International Conference on Vehicle Navigation & Information Systems (VNIS) Norway, Septeber 2-4, 1992, pp. 312-315

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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	A	5,734,981	03/31/98	Kennedy, III, et al.	455	445	03/17/95
	B	5,774,825	06/30/98	Reynolds	364	449.7	10/18/95
	C	5,808,565	09/15/98	Matta, et al.	340	994	03/21/97
	D	6,094,149	07/25/00	Wilson	340	904	10/03/97
	E	6,097,317	08/01/00	Lewiner, et al.	340	994	04/30/97
	F	6,222,462	04/24/01	Hahn	340	904	05/13/99
	G	6,240,362	05/29/01	Gaspard II	701	209	10/19/00
	H	6,253,146	06/26/01	Hanson, et al.	701	202	12/06/99
	I	6,618,668	09/09/03	Laird	701	200	04/26/00
	J						
	K						

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	L	WO 94/02922	02/03/94	PCT	G08G	1/123		X
	M	WO 94/27264	11/24/94	PCT	G08G	1/123	X	
	N	WO 96/04634	02/15/96	PCT	G08G	5/22		X
	O	WO 96/16386	05/30/96	PCT	G08G	1/123	X	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

P	Preiss, George; Jenson, Lillian; "The Satref and GPS Information Projects", 1992 IEEE - 3rd International Conference on Vehicle Navigation Information Systems, pp. 648-655.
Q	"Vehicle Navigation & Information Systems Conference Proceedings" (P-253), Society of Automotive Engineers, Inc., October 1991, pp. 789-796.
R	"1992 Compendium of Technical Papers", Institute of Transportation Engineers - INRAD: A Demonstration of Two-Way Roadway to Vehicle Communication for use in Traffic Operations", Annual Meeting, Washington, D.C. pp. 214-218.

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	L	WO 98/07128	02/19/98	PCT	G08G	1/123		X
	M	WO 98/08206	02/26/98	PCT	G08G		X	
	N	WO 98/14926	04/09/98	PCT	G08G	1/123	X	
	O	WO 98/40837	09/17/98	PCT	G06G	7/70	X	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	P	"Paving the Way for GPS in Vehicle Tracking", Showcase World, December 1992.
	Q	"Advanced Vehicle Monitoring and Communication Systems for Bus Transit", Federal Transit Administration, September 1991, Revised March 1993.
	R	Koncz, et al., "GIS-Based Transit Information Bolsters Travel Options", GIS World, July 1995, pp. 62-64.

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	A	Helleker, Jan, "Real-Time Traveller Information – in everyone's pocket?! – a pilot test using hand-portable GSM terminals, IEEE – IEE Vehicle Navigation & Information systems Conference, Ottawa, VNIS 1993, pp. 49-52.					
	B	Burgener, E.C., et al., "A Personal Transit Arrival Time Receiver", IEEE – IEE Vehicle Navigation & Information Systems Conference, Ottawa, VNIS 1993, pp. 54-55.					
	C	Peng, Zhong-Ren, "A Methodology for Design for a GIS-Based Automatic Transit Traveler Information System", Computer, Environment and Urban Systems, Vol. 21, No. 5, pp. 359-372, 1997.					
	D	Lessard, Robert, "The Use of Computer for Urban Transit Operations", IEEE – IEE Vehicle Navigation & Information systems Conference, Ottawa, VNIS 1993, pp. 586-590.					
	E	Sommerville, Fraser, et al., "Reliable Information in Everyone's Pocket – a Pilot Test", IEEE, Vol. 1927, March 1994, pp. 425-428.					
	F	"PROMISE – Personal Mobile Traveller and Traffic Information Service – Specification of Promise Services, Ver. 7", Telematics Application Programme A2, Transport, July 1, 1996.					
	G	"PROMISE – Personal Mobile Traveller and Traffic Information Service – Generic Promise System Architecture, Ver. 2", Telematics Application Programme A2, Transport, September 10, 1996.					
	H	PROMISE – Personal Mobile Traveller and Traffic Information Service – Summary of Promise Public Relation Activities, Ver. 1", Telematics Application Programme A2, Transport, February 12, 1999.					
	I	"PROMISE" – A Personal Mobile Traveller and Traffic Information Service – Abstract", The Institution of Electrical Engineers, 1997.					
	J	Sommerville, Fraser, et al., "The Promise of Increased Patronage", The Institution of Electrical Engineers, 1993, pp. 3/1-3/4.					
	K	"Automatic Transit Location System", Washington State Department of Transportation, Final Report, February 1996.					
	L	"Advanced Traveler Aid Systems for Public Transportation", Federal Transit Administration, September 1994.					
	M	"Advanced Vehicle Monitoring and Communication Systems for Bus Transit: Benefits and Economic Feasibility", U.S. Department of Transportation, Urban Mass Transportation Administration, September 1991.					
	N	Leong, Robert, et al., "An Unconventional Approach to Automatic Vehicle Location and Control for Urban Transit", IEEE 1989, pp. 219-223.					
	O	"1994 Vehicle Navigation & Information Systems Conference Proceedings", Yokohama, Japan, August 31 – September 2, 1994, pp. 807-810.					
	P	"Vehicle Navigation & Information Systems Conference Proceedings – P-253, Part 2", Society of Automotive Engineers, Inc., October 1991.					
	Q	"Vehicle Navigation & Information Systems – Conference Record of Papers presented at the 3 <sup>rd</sup> Vehicle Navigation & Information Systems Conference 1992., Reso Hotel, Osio Plaza., pp. 49-52.					
	R	Nelson, J. Richard, "Experiences Gained in Implementing an Economical Universal Motorist System", ", IEEE – IEE Vehicle Navigation & Information Systems Conference, Ottawa, VNIS 1993, pp. 67-71.					

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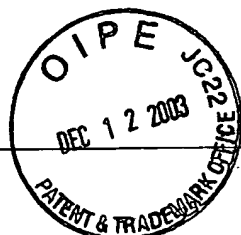
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	A	"The Cassiope/Eurobus Approach", IEEE – IEE Vehicle Navigation & Information Systems Conference, Ottawa, VNIS 1993, pp. 79-81.					
	B	Kihl, Mary, "Advanced Vehicle Location System for Paratransit in Iowa", IEEE – IEE Vehicle Navigation & Information Systems Conference, Ottawa, VNIS 1993, pp. 381-384.					
	C	Gault, Helen, et al., "Automatic Vehicle Location and Control at OC Transpo", ", IEEE – IEE Vehicle Navigation & Information Systems Conference, Ottawa, VNIS 1993, pp. 596-600.					
	D	Vehicle navigation & Information System – Conference Record of Papers presented at the First Vehicle Navigation and Information Systems Conference (VNIS '89), Sept. 11-13, 1999, pp. 602-605.					
	E	Heti, Gabriel, "Travelguide: Ontario's Route Guidance System Demonstration", ", IEEE – IEE Vehicle Navigation & Information Systems Conference, Ottawa, VNIS 1993, pp. A13-A18.					
	F	Jeffery, D.J., et al., "Advanced Traveller Information Systems in the UK: Experience from the Pleiades and Romanse Projects", ", IEEE – IEE Vehicle Navigation & Information Systems Conference, Ottawa, VNIS 1993, pp. 309-313					
	G	Sweeney, Lawrence, E., et al., "Travinfo: A Progress Report", 1994 Vehicle Navigation & Information Systems Conference Proceedings", Yokahama, Japan, August 31 – September 2, 1994, pp. 315-320.					
	H	Shimamura, Yta, et al., "Combined Position Detection System for Pedestrian/Train Mode", 1994 Vehicle Navigation & Information Systems Conference Proceedings", Yokahama, Japan, August 31 – September 2, 1994, pp. 603-606.					
	I	Zavoli, Walt, "Customer Location Services", 1994 Vehicle Navigation & Information Systems Conference Proceedings", Yokahama, Japan, August 31 – September 2, 1994, pp. 613-617.					
	J	Tanaka, Yoshimi, et al., "Automatic Traffic Information Provision System Utilizing Facsimile and Telephone (Now Operating in Osaka), 1994 Vehicle Navigation & Information Systems Conference Proceedings", Yokahama, Japan, August 31 – September 2, 1994, pp.627-632.					
	K	McDonald, Mike, et al., "Romanse (Road Management System for Europe) Project", 1994 Vehicle Navigation & Information Systems Conference Proceedings", Yokahama, Japan, August 31 – September 2, 1994, pp. A-11 – A-14.					
	L	Scott III, Robert H., "Computer-Aided Dispatch," 1998, pp. 46-50.					
	M	Moore, Rodney J., "Hold the Phone!", American Demographics, Ithaca, Jan/Feb 1996, p. 68.					
	N	DeLong, Jr., Edgar S., "Making 911 even better", Telephony, December 14, 1987, pp. 60-63.					
	O	Bruzek, Frank J., "Class Calling Service – A Consumer Service Perspective", Globecom '85 IEEE Global Telecommunications Conference, Dec. 2-5, 1985, Vol. 1 of 3, pp. 11.4.1 – 11.4.4.					

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	A	Powell, R., et al., "Real Time Passenger Information System for the Romanse Project", Colloouin Digest – IEE, Boston, September 1993, pp. 9/1 – 9/3.					
	B	Huber, Paul, "Public Transport Information Systems in Munich", Intelligent Transport Systems World Congress '95 – Second Wold Congress on Intelligent Transport Systems, Yokohama, Japan., November 9-11. 1995, pp. 2362-2366.					
	C	Ronez, Nicholas, et al, "GIS-Based Transit Information Bolsters Travel Options", GIS World, Vol. 6, part 7, June 1995, pp. 62-64.					
	D	Catling, Ian, et al., "TABASCO – Improving Transport Systems in Europe", Pacific Rim TransTech Conference, July 30-August 2, 1995, 995 Vehicle Navigation & Information Systems Conference Proceedings, Washington State Convention and Trade Center, Seattle, Washington, USA, pp. 503-507.					
	E	Dailey, D.J., "Demonstration of an Advance Public Transportation System in the Context of an IVHS Regional Architecture", Proceedings of the First World Congress on Applications of Transport Telematics and Intelligent Vehicle-Highway Systems, November 30 – Dec. 3, 1994, Paris, France, pp. 3024-3031.					
	F	Hubner, Paul, "Advance Public Transportation Information in Munich", International Conference on Public Transport Electronic Systems, Conference Publication No. 42, June 1996.					
	G	Thompson, S.M., et al., "Exploiting Telecommunications to Delivery Real Time Transport Information", Road Transport Information and Control, 21-23 April, 1998, pp. 59-63, Conference Publication No. 454 IEE 1998.					
	H	Kaminitzer, David, et al., "Driver Information Systems: Influencing your Route, IEE Seminar, March 3, 1999, pp. 5/1 – 5/5.					
	I	"Board Cites ATC in Spokane Near Miss", Article in Aviation Week & Space Technology, March 28, 1977, URL: <a href="http://www.aviationnow.com">http://www.aviationnow.com</a> .					
	J	Shifrin, Carole A., "Gate Assignment Expert System Reduces Delays at United's Hubs", Article in Aviation Week & Space Technology, January 25, 1988.					
	K	"United Airlines applies TI's advance technologies to improve gate management at major airports", Article in Business Wire, Inc., November 19, 1987.					
	L	Musich, Paula, "Airline Designs Software to move planes, people; Unite Airline's use of Covia Corp.'s Open Systems Manager, Connectivity Section", Article in PC Week, June 7, 1988, vol. 5, No. 23, pg. C11					
	M	Stoll, Marilyn, "Systems help Airlines Manage Gate Schedules; Connectivity Supplement", PC Week, July 25, 1988, Vol. 5, No. 30, pg. C4..					
	N	Reddy, Shyamala, "Traveling LAN: United Airlines Networks Its Terminals", Article in The Local Area Network Magazine, January 1990, Vol. 5, No. 1, pg. 108.					
	O	Fisher, Sharon, "Networked Airport Systems help Travelers find their way; United Airlines subsidiary Covia Corp. devices integrated network.", Article in Software Magazine, March 15, 1990, Vol. 10, NO. 4, Pg. 31..					

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	A	Henderson, Danna K., "Automation Takes aim at airports: the power of the networked PC is being unleashed on passenger handling and ramp activities worldwide.", Article in Air Transport World, August 1990., Vol. 27, No. 8, pg. 52.					
	B	"United Airlines introduces United Cargo Plug I, a new cargo computer system to serve freight forwarders", Business Wire, October 22, 1990.					
	C	Miller, Barry, "Special Report: Airline Equipment, Service Center", Aviation Week & Space Technology, August 25, 1975, pg. 51.					
	D	Lyon, Mark W., "Cargo Net Debate Splits Industry", Journal of Commerce, Specials, Pg. 4, July 27, 1992.					
	E	Davies, I.L., et al., "Electronics and the Aeroplane", Proceedings of the Institution of Electrical Engineers, Paper No. 7604, delivered before the IEE Electronics Division, 29 <sup>th</sup> October 1975.					
	F	"Global Niche", Flight International, September 26, 1990.					
	G	"Real-Time Briefings", Aviation Week and Space Technology, October 13, 1986.					
	H	Flanagan, Mike, et al., "Amelia Earhart - Mystery Still Clouds Soaring Achievements", Chicago Tribune, July 5, 1987, Final Edition, Pg. 5, Tempo Woman.					
	I	"Official Airline Guides", Airports®, November 20, 1990, Around Airports, Vol. 7, No. 47, pg. 485.					
	J	"Automation System Gains Acceptance", Aviation Week & Space Technology, November 23, 1992, Vol. 137, No. 21, pg. 97.					
	K	Klass, Philip, "French Testing 'Ground-Derived' MLS", Aviation & Space Technology, Avionics, Pg. 56, December 15, 1975.					
	L	"Forecast Realized for ATC System", Aviation & Space Technology, March 17, 1975, Avionics, Pg. 168.					
	M	Henderson, Danna, et al., "Ionworks: America West Automates New Phoenix Terminal Fully Integrated System to Handle Customer-Service Demands (America West Airlines Inc) (Includes Related Article Automation of passenger Service at Airports)", Airport Transport World, May 1, 1991. Vol. 62.					
	N	3 Pages from a web site search under <a href="http://mit.edu/afs/net.mit.edu/project/attic/usa-today/tech/37">http://mit.edu/afs/net.mit.edu/project/attic/usa-today/tech/37</a> , June 12, 2003					
	O	"What's New in passenger Handling Equipment", Air Transport World, Vol. 24, Pg. 62, September 1987.					
	P	"Senator Urges Acceleration of Navstar", Aviation & Space Technology, Avionics, pg. 153, October 3, 1983.					
	Q	"AFSC Broadens Joint Program Efforts", Aviation & Space Technology, System Acquisition, pg. 83, July 19, 1976.					
	R	"Herskovitz, Don, "GPS Insurance Antijamming the System; Brief Article", Journal of Electronic Defense, December 1, 2000, No. 12, Vol. 23, Pg. 41.					

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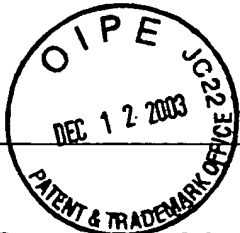
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	A	Hambly, Richard M., et al., "Aircraft Traffic Management on the Airport Surface Using VHF Data Link for CNS", IEEE AES Systems Magazine, march 1995, pp. 9-13.					
	B	Berzins, G., et al., "INMARSAT: Worldwide Mobile Satellite Services on Seas, in Air and on Land", Space Technology, Vol. 10, No. 4, pp. 231-237, 1990.					
	C	Jenney, L.L., et al., "Man as Manager of Automated Resources in an Advanced Air Traffic System", J. Aircraft, Vol. 12, No. 12, December 1975.					
	D	"Routing & Scheduling System improvements from RTSI; Routing Technology Software, Inc.; Product Announcement", Modern Brewery Age, Vol. 43, No. 3, Pg. 11S, January 20, 1992.					
	E	Yanacek, Frank, "Hitching to the stars; satellites for shipment tracking", Research Information Transportation Journals, Combined, No. 6, Vol. 29, p. 16.					
	F	Stoll, Marilyn, "For on-the-road firms, hand-held terminals are pivotal. Connectivity", Research Information Transportation Journals, Combined, No. 34, Vol. 5, p. C11.					
	G	"IBM and Hunt to Market New Truck Tracker; International Business Machines", J.B. Hunt Transport Services; Brief Article, No. 210, Vol. 101, P. 4					
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\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Applicant

**Jones**

Filing Date

**09/05/03**

Group

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, etc.)*

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	Neumann, Dr. Horst, "ATC Concepts with Extensive Utilization of Automatic Data Processing", pp. 4-1 to 4-9; NO PUBLICATION INFORMATION OR DATE INFORMATION PROVIDED.					
	B	Maxwell, Robert L., "Automation Possibilities in Air Traffic Control", pp. 561-563, NO PUBLICATION INFORMATION OR DATE INFORMATION AVAILABLE.					
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	D	"Road Transport Research – Intelligent Vehicle High Systems – Review of Field Trials", prepared by An OECD Scientific Expert Group, pp. 1-101, Organisation for Economic Co-Operation and Development - NO DATE INFORMATION AVAILABLE.					
	E	Ratcliff, Robert, et al., "Transportation Resources Information Processing System (TRIPS), pp. 109-113, NO PUBLICATION INFORMATION OR DATE INFORMATION AVAILABLE.					
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